



8-25-03

2125#

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/475,945	RECEIVED AUG 28 2003 Technology Center 2100
	Filing Date	31 December 1999	
	First Named Inventor	Patrick H. Potega	
	Art Unit	2125	
	Examiner Name	Zoila E. Cabrera	
Total Number of Pages In This Submission	76	Attorney Docket Number	

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patrick H. Potega
Signature	
Date	21 August 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Patrick H. Potega		
Signature		Date	21 August 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 735.00

Complete if Known

Application Number	09/475,945
Filing Date	31 December 1999
First Named Inventor	Patrick H. Potega
Examiner Name	Zoila E. Cabrera
Art Unit	2125
Attorney Docket No.	

RECEIVED

AUG 28 2003

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit Account Number
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
32	-20** = 30	x 9	270
Independent Claims	2	-3** = 0	x 42 = 0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 270

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	2053 130	1812 2,520	2052 25	Surcharge - late provisional filing fee or cover sheet	
1812 2,520	2053 130	1804 920*	2053 130	Non-English specification	
1804 920*	1812 2,520	1805 1,840*	2053 130	For filing a request for ex parte reexamination	
1805 1,840*	1804 920*	1251 110	2251 55	Requesting publication of SIR prior to Examiner action	
1251 110	1805 1,840*	1252 410	2252 205	Requesting publication of SIR after Examiner action	
1252 410	1253 930	1253 930	2253 465	Extension for reply within first month	
1253 930	1254 1,450	1254 1,450	2254 725	Extension for reply within second month	
1254 1,450	1255 1,970	1255 1,970	2255 985	Extension for reply within third month	
1255 1,970	1401 320	1401 320	2401 160	Extension for reply within fourth month	
1401 320	1402 320	1402 320	2402 160	Extension for reply within fifth month	
1402 320	1403 280	1403 280	2403 140	Notice of Appeal	
1403 280	1451 1,510	1451 1,510	2451 55	Filing a brief in support of an appeal	
1451 1,510	1452 110	1452 110	2452 55	Request for oral hearing	
1452 110	1453 1,300	1453 1,300	2453 650	Petition to institute a public use proceeding	
1453 1,300	1501 1,300	1501 1,300	2501 650	Petition to revive - unavoidable	
1501 1,300	1502 470	1502 470	2502 235	Petition to revive - unintentional	
1502 470	1503 630	1503 630	2503 315	Utility issue fee (or reissue)	
1503 630	1460 130	1460 130	2460 130	Design issue fee	
1460 130	1807 50	1807 50	2460 130	Plant issue fee	
1807 50	1808 180	1808 180	2460 130	Petitions to the Commissioner	
1808 180	8021 40	8021 40	2460 130	Processing fee under 37 CFR 1.17(q)	
8021 40	1809 750	1809 750	2460 130	Submission of Information Disclosure Stmt	
1809 750	1810 750	1810 750	2460 130	Recording each patent assignment per property (times number of properties)	
1810 750	1801 750	1801 750	2460 130	Filing a submission after final rejection (37 CFR 1.128(a))	
1801 750	1802 900	1802 900	2460 130	For each additional invention to be examined (37 CFR 1.129(b))	
1802 900				Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 465

SUBMITTED BY

Name (Print/Type) Patrick H. Potega

Registration No.
(Attorney/Agent)

(Complete if applicable)

Telephone 818 340-7268

Signature

Date 21 Aug 2003

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